

APPENDIX III Comparison of Level I Grant Activities by Core Area (1.1 and 1.2)		
California Exchange Core Area	Level I (1.1) Activities August 15, 2011 to August 14, 2012	Level I (1.2) Activities August 15, 2012 to June 30, 2013
Background Research and Evaluation	<ul style="list-style-type: none"> <li>▪ Adopt evidence-based policy making</li> <li>▪ Enrollment estimates, demographic and market profiles (University of California: California Health Interview Survey (CHIS), California Simulation of Insurance Markets (CalSIM))</li> <li>▪ Essential health benefits benchmark analysis (Milliman)</li> <li>▪ Internal and external research and analysis as needed</li> </ul>	<ul style="list-style-type: none"> <li>▪ Continue evidence-based policy making</li> <li>▪ Enrollment estimate and demographic profile updates and evaluation data design (CHIS and CalSIM)</li> <li>▪ Actuarial evaluation of Qualified Health Plan (QHP) bids and market cost trends</li> <li>▪ Economic market analysis</li> <li>▪ Evaluation planning and implementation</li> <li>▪ Internal and external research and analysis as needed</li> </ul>
Stakeholder Consultation	<ul style="list-style-type: none"> <li>▪ Public board meetings and dissemination of research, proposals and policies</li> <li>▪ Stakeholder engagement in multiple forums and venues, including focus groups, listserv, webinars and meetings</li> <li>▪ Feedback on contract solicitations, federal rules and comments and other topics</li> <li>▪ Tribal organization consultation</li> </ul>	<ul style="list-style-type: none"> <li>▪ Public board meetings and dissemination of research, proposals and policies</li> <li>▪ Stakeholder engagement in multiple forums and venues, including focus groups, listserv, webinars and meetings</li> <li>▪ Feedback on contract solicitations, federal rules and comments and other topics</li> <li>▪ Comprehensive stakeholder consultation plan with structured advisory committees</li> <li>▪ Final tribal consultation plan</li> </ul>
Governance, Legislative and Regulatory	<ul style="list-style-type: none"> <li>▪ Public board meetings subject to open meeting laws</li> <li>▪ Adopted mission, vision and values</li> <li>▪ Recruited executive director and leadership team</li> <li>▪ Adopted conflict of interest policy</li> <li>▪ Regular meetings with state department partners and interagency workgroups</li> <li>▪ Track state and federal rules and legislation</li> <li>▪ Technical assistance and guidance on state ACA implementing legislation including Exchange issues</li> <li>▪ Evaluate state and federal market rules</li> </ul>	<ul style="list-style-type: none"> <li>▪ Public board meetings subject to open meeting laws</li> <li>▪ Regular meetings with state department partners and interagency workgroups</li> <li>▪ Track state and federal rules and legislation</li> <li>▪ Technical assistance and guidance on state ACA implementing legislation including Exchange issues</li> <li>▪ Develop and submit Exchange blueprint</li> <li>▪ Strategies to mitigate adverse selection inside and outside the Exchange</li> </ul>
Program Integration	<ul style="list-style-type: none"> <li>▪ Program Integration Advisory Group</li> <li>▪ Joint planning with DHCS and MRMIB to develop CalHEERS concept and solicitation</li> <li>▪ Joint solicitation for outreach and education</li> </ul>	<ul style="list-style-type: none"> <li>▪ Program Integration Advisory Group</li> <li>▪ Joint planning to develop and implement CalHEERS</li> <li>▪ Joint implementation of outreach and education plan</li> <li>▪ Execute agreements with DHCS and MRMIB</li> <li>▪ Execute agreements with CDI and DMHC</li> </ul>
Qualified Health Plan (QHP) Management	<ul style="list-style-type: none"> <li>▪ Engaged external consultant to develop QHP solicitation, certification and contracting processes</li> <li>▪ Research and analysis on ACA rules and guidance and existing state laws affecting QHPs</li> </ul>	<ul style="list-style-type: none"> <li>▪ QHP solicitation and selection</li> <li>▪ Certification of selected QHPs</li> <li>▪ QHP quality rating system</li> <li>▪ Risk adjustment and reinsurance state decision and possible initial design</li> </ul>

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California Exchange Core Area	Level I (1.1) Activities August 15, 2011 to August 14, 2012	Level I (1.2) Activities August 15, 2012 to June 30, 2013
	<ul style="list-style-type: none"> <li>▪ Certification criteria and QHP performance standards</li> </ul>	
Small Business Health Options (SHOP)	<ul style="list-style-type: none"> <li>▪ Engaged consultant to develop SHOP design and operational plan</li> <li>▪ Small Business Health Options Program workgroup</li> </ul>	<ul style="list-style-type: none"> <li>▪ Operational plan implementation</li> <li>▪ QHP selection process and certification</li> <li>▪ Employer eligibility, application and enrollment processes</li> <li>▪ Employer account services</li> <li>▪ Employer relations function</li> <li>▪ Agent and broker certification and training</li> </ul>
Eligibility and Enrollment	<ul style="list-style-type: none"> <li>▪ Establish joint partnership with DHCS and MRMIB on “no wrong door” eligibility and enrollment system</li> <li>▪ CalHEERS design and contractor solicitation and contract award</li> <li>▪ Research best practices and program options</li> </ul>	<ul style="list-style-type: none"> <li>▪ Program materials, notices and forms</li> <li>▪ Business rules and workflow processes</li> <li>▪ CalHEERS development and testing</li> <li>▪ Training materials, instructions and scripts</li> <li>▪ Regulations as needed</li> <li>▪ Baseline data collection and measurement</li> <li>▪ Coverage appeals process</li> </ul>
Consumer Assistance and Outreach	<ul style="list-style-type: none"> <li>▪ Establish joint partnership with DHCS and MRMIB on outreach, education and marketing</li> <li>▪ Outreach and education solicitation and contract award</li> <li>▪ Initial focus groups and stakeholder consultation</li> <li>▪ Research and evaluate options for assisters program (including navigators)</li> </ul>	<ul style="list-style-type: none"> <li>▪ Phase I and II of outreach, education and marketing program – focus groups, plan development, collateral materials, initial paid media and outreach/education grants</li> <li>▪ Analysis of consumer assistance data and existing consumer assistance programs</li> <li>▪ Exchange consumer assistance function coordinated with existing state programs</li> <li>▪ Assisters program development and implementation</li> </ul>
Information Technology	(see IT work plan)	
Operations and Financial Management	<ul style="list-style-type: none"> <li>▪ Preliminary business and operational planning</li> <li>▪ Routine internal financial and accounting systems, policies for tracking revenue and expenses</li> </ul>	<ul style="list-style-type: none"> <li>▪ Staff and contractor recruitment and management</li> <li>▪ Financial management system enhancement</li> <li>▪ Sustainability plan</li> <li>▪ Operational planning, project management, and internal policies and procedures</li> <li>▪ Final fraud, waste and abuse prevention plan</li> </ul>